

## RISK ASSESSMENT

### Discover What Could Happen Before it Does

Awareness has infinite value in continuity management since understanding what may happen is key in preventing it.

Continuity planning is not only about prevailing over disruption – it's also about empowering your organization with the knowledge of what could go wrong and what impact it would have – a requirement of many regulatory bodies worldwide.

This can only happen if your organization:

- Identifies what types of risks exist for each location
- Evaluates the level of each risk's threat to specific locations
- Determines consequences and likelihoods for identified risks
- Rates the efficiency of existing controls; and
- Evaluates estimated impact to determine whether risk mitigation is required

The key to accomplishing each is a risk assessment, which provides a deep understanding of your organization's risks and threats and how they can be prevented.

#### A Solution Ahead of Risk's Time

The Risk Assessment module from SunGard exposes key risk areas for each of your locations and generates actionable metrics for BC planning and decision-making. It also serves as a foundation for addressing regulations such as FFIEC, Sarbanes-Oxley, and Basel-II.

Based on a foundation of best practices, Risk Assessment guides planners through:

- Assessing the threats to each location
- Understanding your residual risk for each threat type; and
- Analyzing the results so you can mitigate your greatest risks

At the heart of the Risk Assessment module is a standard survey, designed to evaluate numerous location factors and scenarios using various aspects of risk rating. You'll also have the ability to create an infinite number of custom surveys as well as survey questions (which can be stored in a Question Library for future reference).

The Reporting function's value is twofold: first, it helps you manage survey recipients by providing tracking capabilities that will keep you aware of any progress being made...or not being made.

Second, from your Reports will come an Enterprise Threat Summary – the ultimate goal of any planner performing a risk assessment. This summary presents both the probability and severity of threats from proximity, natural, human and technical risks to your locations. It organizes all of your findings into a visual ratings system, which lets you gauge each discovered risk's urgency on a "low to high probability" against a "low to high impact" scale.

Per this report, an intelligent and informed decision can then be made on whether any action is necessary, for example, whether to accept the risk, or attempt to mitigate or control it.

#### Integrated in the Continuity Management Solution

The Risk Assessment module is part of the SunGard Continuity Management Solution, a comprehensive software suite that helps your company manage the entire lifecycle of its business continuity program. With product integration across the modules, it supports the full lifecycle of your continuity program.

For example, Risk Assessment integrates with LDRPS®, SunGard's continuity management software. Because of this, you're able to easily run a risk assessment against any location found in your plans. If any risks are uncovered that warrant action, the new data can be moved back over to your plans in LDRPS and be addressed properly.

### Why SunGard?

Thirty years ago, SunGard Availability Services had the foresight to found, and since then grow, the disaster recovery industry. Today it is no longer sufficient to just protect the data behind a given process. To maintain a competitive advantage, organizations must keep people and information continuously connected.

As part of its comprehensive portfolio of solutions, SunGard Availability Services offers a fully integrated, enterprise class Software suite, as well as individual software products to support Business Continuity planning, testing and notification.

### Here's what else you can expect:

#### Unparalleled Support

SunGard software support goes

well beyond being available for questions or planning advice 24 hours per day, 7 days per week, 365 days per year – they also act as advocates for our customers, relaying enhancement requests to our project managers and developers.

#### Product Training

No one knows our products better than our team of trainers with their extensive knowledge and insight. SunGard provides free training for an unlimited number of your personnel at our U.S.A. and U.K. facilities.

#### Meaningful Consultation

We are recognized leaders in continuity planning consulting, having completed countless engagements across many industries, ensuring the vital elements that make continuity initiatives effective are at your service.

#### User Events

Our International User Group Conference (UGC) and the Regional User Groups (RUGs) create a collaborative environment for sharing ideas with fellow SunGard software users in your area. Feedback received during these events supports enhancements and the development of new offerings.

### Key Differentiator from a BIA?

Performing a risk assessment may sound similar to performing a BIA (business impact analysis) – specifically, SunGard's Risk Assessment module and BIA Professional®. While they can be used concurrently in order to gain a comprehensive understanding of critical operations and the threats that endanger them, they serve very different purposes.

The key difference lies in the ultimate goal of each product: while BIA Professional informs an organization what they would need to recover first in the event of a disruption, our Risk Assessment module informs organizations what exactly could happen to disrupt their operations.

For more information about SunGard Availability Services, visit [www.availability.sungard.com](http://www.availability.sungard.com) or call 1-800-468-7483.

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